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Washington, D.C. 20231

0230  
PATENT  
Attorney Docket No.: 17534-000710US

On 9/25/00

TOWNSEND and TOWNSEND and CREW LLP

By: Brad J. Loos  
Brad J. Loos

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RODNEY A. PERKINS et al.

Application No.: 09/606,320

Filed: June 28, 2000

For: METHODS, SYSTEMS, AND KITS  
FOR LUNG VOLUME REDUCTION

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

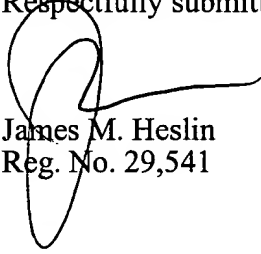
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/347,032, filed 07/02/99 (Attorney Docket No. 017534-000700US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

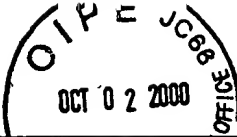
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



James M. Heslin  
Reg. No. 29,541

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FORM PTO-1449 (Modified)	Attorney Docket No.: 17534-000710US	Application No.: 09/606,320
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: RODNEY A. PERKINS et al.	
	Filing Date: June 28, 2000	Group: Unassigned

Reference Designation	U.S. PATENT DOCUMENTS	Page 1
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Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
___ AA	3,322,126	05/30/1967	Rüsch et al.			
___ AB	3,498,286	03/03/1970	Polanyi et al.			
___ AC	3,669,098	06/13/1972	Takahashi			
___ AD	3,677,262	07/18/1972	Zukowski			
___ AE	3,776,222	12/04/1973	Smiddy			
___ AF	3,866,599	02/18/1975	Johnson			
___ AG	3,913,568	10/21/1975	Carpenter			
___ AH	4,041,936	08/16/1977	Carden			
___ AI	4,086,919	05/02/1978	Bullard			
___ AJ	4,327,720	05/04/1982	Bronson et al.			
___ AK	4,327,721	05/04/1982	Goldin et al.			
___ AL	4,453,545	06/12/1984	Inoue			
___ AM	4,468,216	08/28/1984	Muto			
___ AN	4,567,882	02/04/1986	Heller			
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___ AP	4,742,819	05/10/1988	George			
___ AQ	4,819,664	04/11/1989	Nazari			
___ AR	4,846,153	07/11/1989	Berci			
___ AS	4,850,371	07/25/1989	Broadhurst et al.			
___ AT	4,784,133	11/15/1988	Mackin			
___ AU	4,862,874	10/05/1989	Kellner			
___ AV	4,896,941	01/30/1990	Hayashi et al.			
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___ BB	5,056,529	10/15/1991	de Groot			
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___ BE	5,285,778	02/15/1994	Mackin			
___ BF	5,309,903	05/10/1994	Long			
___ BG	5,331,947	07/26/1994	Shturman			
___ BH	5,361,753	11/08/1994	Pothmann et al.			
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___ BJ	5,477,851	12/26/1995	Callaghan et al.			

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: 17534-000710US <del>Patent &amp; Trade Mark</del> RODNEY A. PERKINS et al.		Application No.: 09/606,320	
			Filing Date: June 28, 2000		Group: Unassigned	
BK	5,499,625	03/19/1996	Frass et al.			
BL	5,682,880	11/04/1997	Brain			
BM	5,598,840	02/04/1997	Iund et al.			
BN	5,642,730	07/01/1997	Baran			
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BP	5,653,231	08/05/1997	Bell			
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BS	5,752,921	05/19/1998	Orr			
BT	5,893,841	04/13/1999	Glickman			
BU	5,897,528	04/27/1999	Schultz			
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
BV	WO 92/10971	09/07/1992	WIPO			
BW	WO 95/33506	14/12/1995	WIPO			
BX	WO 98/48706	05/11/1998	WIPO			
BY	WO 98/49191	05/11/1998	WIPO			
BZ	WO 99/01076	14/01/1999	WIPO			
CA	WO 99/17827	15/04/99	WIPO			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
CB	Becker et al., "Lung volumes before and after lung volume reduction surgery" Am. J. Respir. Crit. Care Med. (1998) 157:1593-1599.					
CC	Clark et al., "Lung volume reduction surgery alters management of pulmonary nodules in patients with severe COPD" Chest (1997) 112(6):1494-1500.					
CD	Criner et al., "Effect of lung volume reduction surgery on diaphragm strength" Am. J. Res. Crit. Care Med. (1998) 157:1578-1585.					
CE	Harada et al., "Re-expansion of refractory atelectasis using a bronchofiberscope with a balloon cuff" Chest (1983) 84(6):725-728.					
CF	Kotloff et al., "Comparison of short-term functional outcomes following unilateral and bilateral lung vloume reduction surgery" Chest (1998) 113(4):890-895.					
CG	Sclafani, "Clearing the airways" AARC Times (January 1999) pp. 69-71, 97.					
<b>EXAMINER</b> <span style="float: right;"><b>DATE CONSIDERED</b></span>						

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.